WELCOME!

“Horizon Europe Cluster Series”
Cluster 2: Culture, Creativity and Inclusive Society

Housekeeping

- To avoid background noise all participants will be muted.
- After testing your speakers, please do remember to connect your audio by using the “Join Audio” icon at the bottom left of the screen or dial in via phone using the number provided in the joining instructions.
- If you have any technical problems, please use the chat to seek advice from the host (Andie McLean)
- Questions and Answers: Use the Q&A function. We will pick them up at 10:45 “Q&A session”.
- Please use the chat to introduce yourself, please note due to GDPR we cannot share the chat. Capture what you need.

PLEASE NOTE – THE WEBINAR IS BEING RECORDED

UKRI Innovate UK KTN

“Horizon Europe Cluster Series” Cluster 2: Culture, Creativity and Inclusive Society

AGENDA

Time (UK)	Title	Speaker
10:00	Welcome & Innovate UK KTN European Programmes support	Belen Rebollo, Innovate UK KTN
10:15	Cluster 2 and related opportunities	Anni Laihanen, UKRI
10:50	Q&A	Viola Hay, Innovate UK KTN
11:00	**BREAK**	
11:05	Case Study: University of Warwick	Prof. Akwugo Emejulu, University of Warwick
11:15	Q & A	Viola Hay, Innovate UK KTN
11:20	Navigating application and award process: Practical tips and support for applicants	Chris Young, Innovate UK
11:30	Q & A	Viola Hay, Innovate UK KTN
11:45	Key dates & Key messages	Belen Rebollo, Innovate UK KTN

UKRI Innovate UK KTN

Innovate UK KTN – European Programmes Activity and support

Belen Rebollo-Garcia
Knowledge Transfer Manager

Innovate UK KTN

Innovate UK KTN exists to connect innovators with new partners and new opportunities beyond their existing thinking – accelerating ambitious ideas into real-world solutions.

Cross-Sector Expertise

Agrifood
Biotechnology
Chemistry
Creative Industries
Design
Digital
Electronics
Energy
Geospatial
Health
Industrial Maths
Infrastructure
Manufacturing
Materials
Photonics
Quantum
Robotics & AI
Security & Defence
Sensors
Space
Transport
Water

UKRI Innovate UK KTN

Our Network

46,229
Unique
Organisations

72% Small
15% Medium
13% Large

234,478
innovators

Every university
in the UK

Our Outputs

66%

introduced by KTN go on to **collaborate**

42%

reach outcomes **faster** (1-2 years)

60%

increased **investment** in R&D direct result from KTN engagement

£100m

per year increased **investment** in R&D

UKRI Innovate UK KTN

Primary Delivery Partners

UK Government

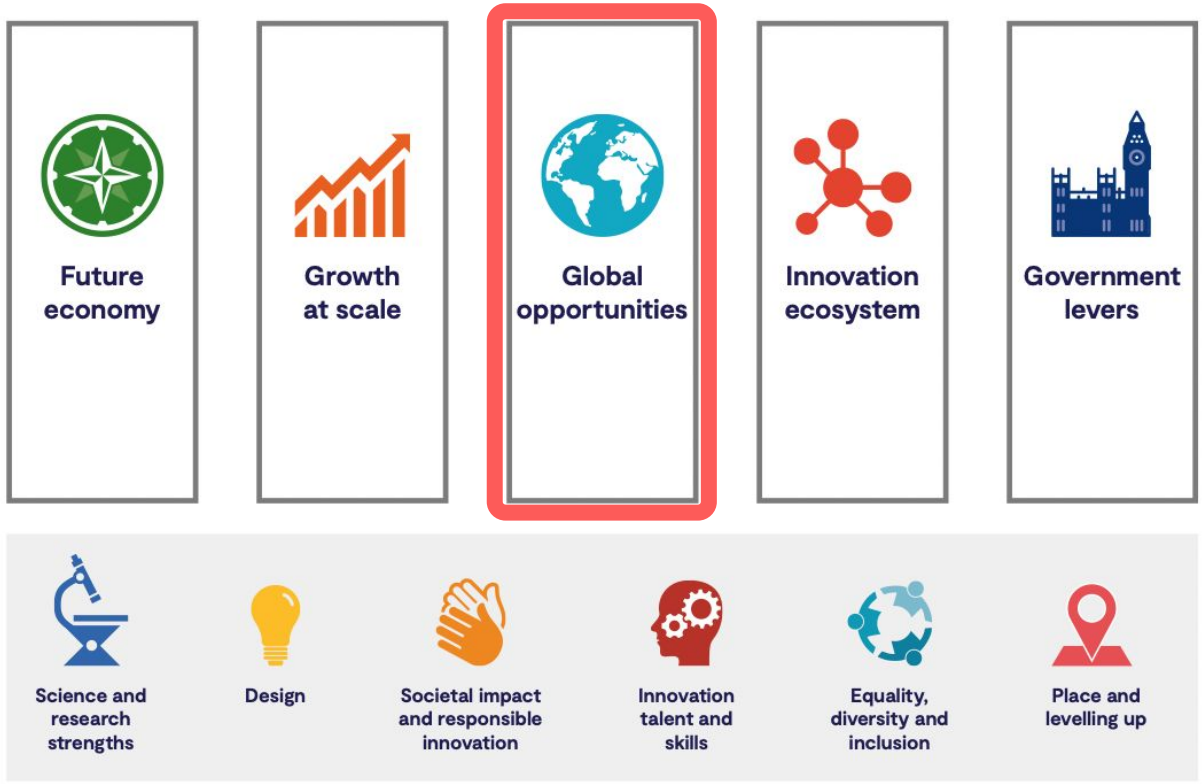
Department for Business, Energy & Industrial Strategy

Department for International Trade

Foreign & Commonwealth Office

UK Science & Innovation Network

Department for Digital, Culture Media & Sport



Theme 3: Global opportunities

More and more countries are looking to science and innovation to build their economies and meet global challenges. There is a major opportunity for international co-operation on research and development and innovation that builds on our world-class science and research capabilities and industry strengths.

We connect **regional, national and global** innovation

Our **Global Alliance** programme drives international collaborations with governments, societies, enterprises, institutions and people from every corner of the globe.

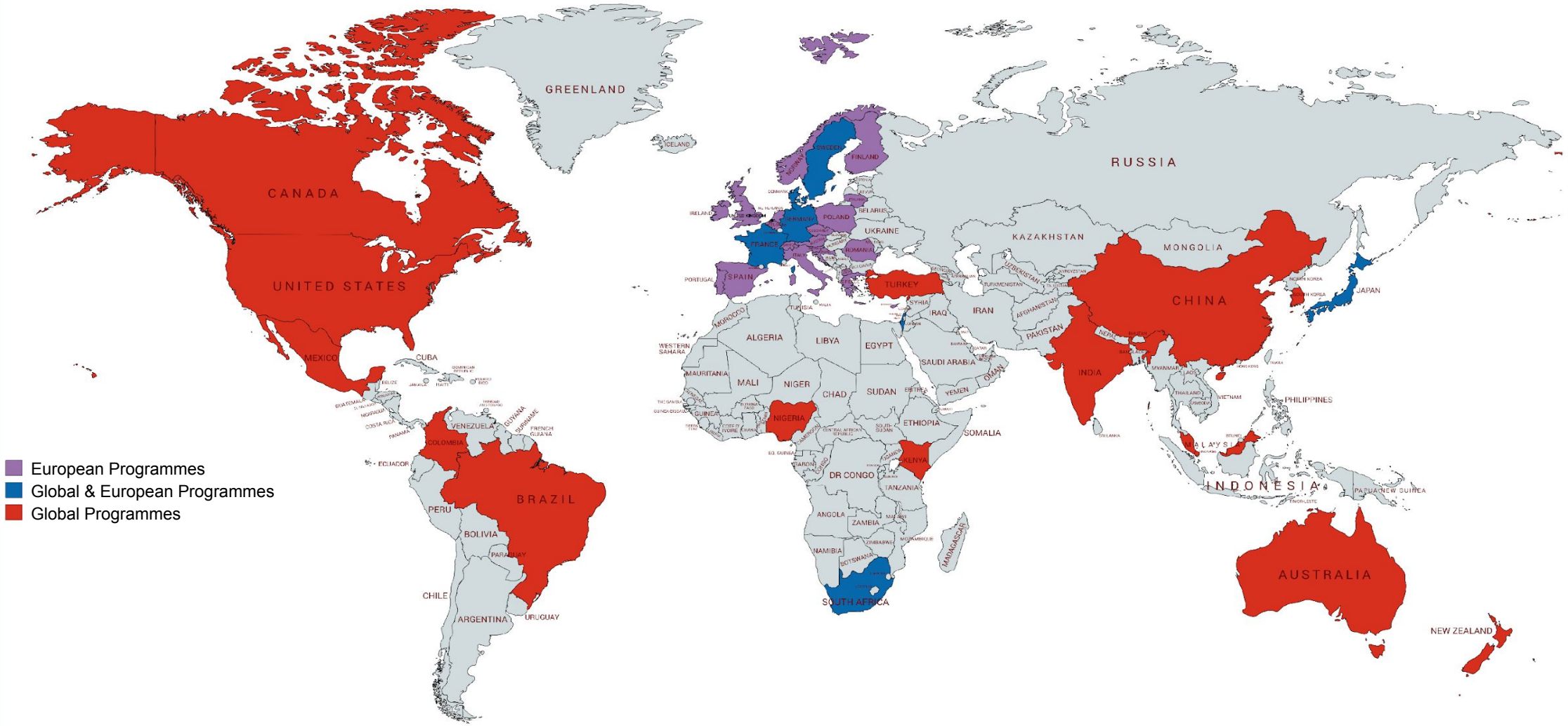
Global Alliance Africa

European Programmes

Global Expert Missions

UKRI Innovate UK KTN

Our Reach



Innovate UK KTN

Global Alliance: European Programmes

We support UK organisations in **engaging with International R&I initiatives** (i.e. Horizon Europe and EUREKA, among them) and help shape future funding priorities by:

- Organising consortium building activities / events in selected topics
- Offering “Travel Grants” to attend European brokerage events
- Managing international collaboration platforms
- Engaging with International Partnerships and Technology Platforms
- Providing sector specific advice on market opportunities and project impact

We work closely with the National Contact Points, Innovate UK Edge and the FCDO / Science Innovation Network.

UKRI Innovate UK KTN

Global Alliance: European Programmes

European Programmes Experts

Jane Watkins
Regional Lead

Viola Hay
Knowledge Transfer Manager

Belen Rebollo
Knowledge Transfer Manager

Find out more

@KTN_Global

Horizon Europe Hub

https://ktn-uk.org/programme/european

UKRI Innovate UK KTN

Can the UK participate in Horizon Europe? YES!!!

The UK is a Candidate Associated Country

- Applicants should carry on working in their consortiums on the 2023 Work Programme Call topics.
- UK applicants should continue applying as beneficiaries and request EU budget at the proposal stage
- UK Horizon Europe Guarantee in place to support successful applicants if Association not in place at time of Grant Agreement

UKRI Innovate UK

Horizon Europe Cluster 2

Anni Laihanen
UK National Contact Point

UKRI Innovate UK KTN

Economic and Social Research Council

Department for Business, Energy & Industrial Strategy

Horizon Europe, Cluster 2 ‘Culture, Creativity and Inclusive Society’

Anni Laihanen – UK National Contact Point for Cluster 2, ESRC

7 December 2022

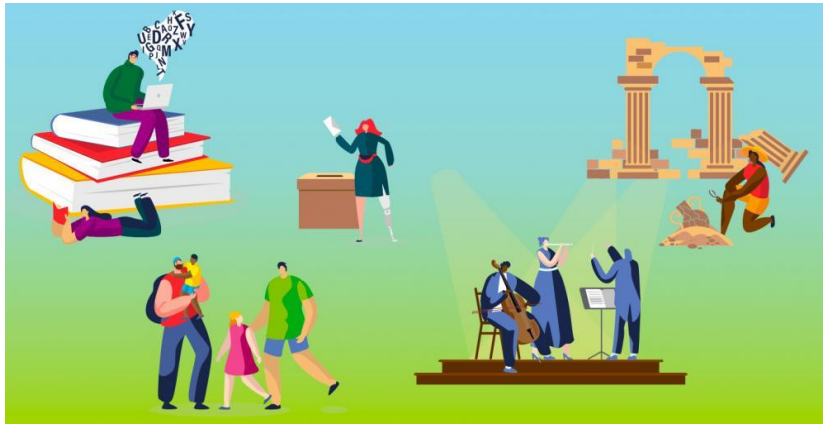
#UKinHorizon

HORIZON EUROPE

THE NEXT EU RESEARCH & INNOVATION PROGRAMME (2021 – 2027)

Cluster 2 – Culture, Creativity and Inclusive Society

- Aims:
  - To enhance democratic governance and citizens participation;
  - To safeguard and promote cultural heritage;
  - To respond to and shape multifaceted social, economic, technological and cultural transformations;
  - To mobilise multidisciplinary expertise of European social sciences and humanities;
  - To provide evidence-based policy options for a socially just and inclusive European recovery.



Destination 1: Democracy and governance

Destination 2: Cultural heritage & cultural and creative industries

Destination 3: Social and economic transformations

Economic and Social Research Council

Department for Business, Energy & Industrial Strategy

Call = consists of several **call topics**

The basics

Consortium/eligibility:	Evaluation:

• Anyone can participate
• Eligibility for funding: legal entities in EU Member State (MS) or Associated Country (AC)
  • Also legal entities from Low and Middle Income Countries (LMICs) if country listed in the List of Participating Countries in Horizon Europe
	• Differs depending on type of action
	  • Research & Innovation Action (RIA)
	  • Innovation Action (IA)
	  • Coordination & Support Action (CSA)

• **A minimum of 3 entities in 3 different countries**
  • Each established in different EU Member States (MS) or Associated Countries (AC), with at least 1 of them established in a MS
	• Calls are evaluated on **Excellence, Impact and Implementation**
	  • Each criterion scored from 0 to 5
	  • Application form template
	• More detail on the eligibility and admissibility conditions in the General Annexes

UKRI Economic and Social Research Council | Department for Business, Energy & Industrial Strategy

Online manual!

Destination 1: Innovative research on democracy and governance

Main challenges:

- Rejection and challenge of democracy and liberal international order (Ukraine war)
- Fragility and vulnerability of democracies
- Decline of the quality of democracies

Expected impact:

- Democratic governance is reinvigorated by improving the accountability, transparency, effectiveness and trustworthiness of rule-of-law based institutions and policies and through the expansion of active and inclusive citizenship empowered by the safeguarding of fundamental rights.

2023 Call: Standing up for democracy

Opening: 14/12/22, deadlines: 14/03/23

	Budget per project M€	Expected # of projects
1: Detecting, analysing and countering foreign information manipulation and interference	2-3	3
2: Developing a better understanding of information suppression by state authorities as an example of foreign information manipulation & interference	2-3	3
3: New approaches for combatting corruption and other undue influences on political decision-making	5-6	2
4: The emotional politics of democracies	2-3	3
5: The climate imperative and its impact on democratic governance	2-3	3
6: Democratic governance for times of disruptive changes to the social contract	2-3	3
7: Intersectionality and equality in deliberative and participatory democratic spaces	2-3	3
8: Political perspectives for the Eastern Neighbourhood & Western Balkans	2-3	3

2024 Call: Past, present and future of democracies

Opening: 04/10/23, deadlines: 07/02/24

	Budget per project M €	Expected # of projects
1: Protest politics and cultures of opposition in democracy	2-3	3
2: Multilevel governance in times of digital and climate transitions	2-3	3
3: Long-term impact of rule of law and European values on socio-economic outcomes	2-3	3
4: The interrelation between social, cultural and political identities, as well as the sense of belonging, and democracies	2-3	3
5: Gender-roles in extremist movements and their impact on democracy	2-3	3
6: Computational Social Science approaches in research on democracy	2-3	3
7: Digital democracy	2-3	3
8: Culture, the arts and cultural spaces for democratic participation and political expression, online and offline	2-3	3
9: The role and functioning of public administrations in democratic systems	2-3	3
10: Political participation in multilingual spaces	2-3	2
11: Future scenarios and young visions for European democracy 2040	3-4	1
12: Testing and implementation of research results fostering democracy and governance	3-4	1

Destination 2: Innovative research on European cultural heritage and the Cultural and Creative Industries

Main challenges:

- GREEN: Cultural heritage and CCIs need to adapt to the consequences of climate change and mobilise their resources to support European citizens and societies for an inclusive, socially and culturally sustainable climate transition.
- DIGITAL: The digital transition promises enormous opportunities for CH and CCIs, but also serious challenges.
- INNOVATIVE: Creative and cultural aspects drive innovation and growth. Digital and physical products and services need to be appealing and attractive, adapted to cultural particularities. Societal transformations depend on behavioural changes, largely based on changes in culture and perceptions.

Expected impact:

- The full potential of cultural heritage, arts and cultural and creative sectors as a driver of sustainable innovation and a European sense of belonging is realised through a continuous engagement with society, citizens and economic sectors as well as through better protection, restoration and promotion of cultural heritage

UKRI Economic and Social Research Council
Department for Business, Energy & Industrial Strategy

2023 Call: Research and innovation on cultural heritage and CCIs

Opening: 14/12/22, deadlines: 14/03/23

	Budget per project M€	Expected # of projects
1: Advanced technologies for remote monitoring of heritage monuments and artefacts	3-4	3
2: Cultural and creative industries for a sustainable climate transition	3-4	3
3: Re-visiting the digitisation of cultural heritage: What, how and why?	3-4	3
4: Cultural heritage in transformation – facing change with confidence	2-3	3
5: Fostering socio-economic development and job creation in rural and remote areas through cultural tourism	2-3	3
6: A world leading European video game innovation system	3-4	3
7: Promoting cultural literacy through arts education to foster social inclusion	2-3	3
8: Cultural and creative approaches for gender-responsive STEAM education	2-3	1

UKRI Economic and Social Research Council | Department for Business, Energy & Industrial Strategy

Info based on DRAFT Work Programmes, so is subject to change

2023 Call: A European Collaborative Cloud for Cultural Heritage (ECCCH)

- Digital European cultural heritage collaborative space
- Brings together a wide array of professions, researchers and technologies
- Provides interactive tools for research, curation, restoration, preservation and citizen engagement

Opening: 10/01/23, deadlines: 21/09/23

	Budget per project M €	Expected # of projects
1: A European Collaborative Cloud for Cultural Heritage	20-25	1
2: A European Collaborative Cloud for Cultural Heritage – Innovative tools for digitising cultural heritage objects	4-5	2

2024 Call: Research and innovation on cultural heritage and CCIs

Opening: 04/10/23, deadlines: 07/02/24

	Budget per project M€	Expected # of projects
1: New European Bauhaus – Innovative solutions for greener and fairer ways of life through arts and culture, architecture and design for all	3-5	3
2: Cultural and creative industries for a sustainable climate transition	3-4	3
3: Leverage the digital transition for competitive European cultural and creative industries	3-4	3
4: Europe's cultural heritage and arts – promoting our values at home and abroad	3-4	3
5: Strategies to strengthen the European linguistic capital in a globalised world	2-3	3

Destination 3: Innovative research on social and economic transformations

Challenges:

- Transformation of socio-economic systems, impact on livelihoods and wellbeing
- How to exploit ongoing changes to create more inclusive societies?
- COVID-19/Ukraine war have magnified many pervasive challenges and inequalities

Expected impacts:

- Social and economic resilience and sustainability are strengthened through a better understanding of the social, ethical, political and economic impacts of drivers of change (such as technology, globalisation, demographics, mobility and migration) and their interplay.
- Inclusive growth is boosted and vulnerabilities are reduced effectively through evidence-based policies for protecting and enhancing employment, education, social fairness and tackling inequalities, including in response to the socio-economic challenges due to the COVID-19 pandemic.

UKRI Economic and Social Research Council
Department for Business, Energy & Industrial Strategy

2023 Call: Inclusiveness in times of change

Opening: 14/12/22, deadlines: 14/03/23

	Budget per project M€	Expected # of projects
1: Remote working arrangements and their economic, social and spatial effects	2-3	3
2: Towards sustainable economic policy paradigms	2-3	1
3: Global Shortages and Skill Partnerships	2-3	3
4: Bridging the migration research to policy gap	2-3	1
5: Efficiency and effectiveness of investment in high-quality education and training	2-3	3
6: Mapping of longitudinal data and assessment of inequalities in education, training and learning achievements	2-3	3
7: Tackling European skills and labour shortages	2-3	3
8: Integrated care solutions leading to better quality, person-centred long-term care and overcoming territorial inequalities in their provision	2-3	3
9: Addressing housing inequalities in a sustainable, inclusive and affordable way	2-3	3
10: Tackling inequalities in the green and digital transitions	2-3	3

2024 Call: A sustainable future for Europe

Opening: 04/10/23, deadlines: 07/02/24

	Budget per project M€	Expected # of projects
1: Policy recommendations from socio-economic impacts of loneliness in Europe	~3	1
2: Strengthen economic fairness and resilience of active labour market policies and address high unemployment	2-3	3
3: Minimise costs and maximise benefits of job creation and job destruction	2-3	3
4: Social services: economic and social returns and value added	2-3	3
5: Social dialogue in the new world of work	2-3	3
6: Beyond the horizon: A human-friendly deployment of AI and related technologies	2-3	3
7: Methodologies for teamworking of researchers – reinforcing transversal collaborative skills, behavioural and implementation sciences	~3	1
8: Arts and cultural awareness and expression in education and training	2-3	3
9: The role of social economy in addressing social exclusion, providing quality jobs and greater sustainability	2-3	3
10: Effective education and labour market transitions of young people	2-3	3
11: Assessing and strengthening complementarity between new technologies & human skills	2-3	3

Opportunities in other Clusters

1: Health

- Person-centred/digital health and care
- Mental health
- Long-term care
- Post-pandemic workplaces
- Urban environments & disease
- Resilient health and care workforce
- Access to health and care services for vulnerable groups

3: Security

- Community policing
- Non-state actors and bioterrorist attacks
- Organized Crime
- Cyber threats and cyber-dependent crime
- Radicalisation and gender
- Resilient and secure urban planning
- Social and societal preparedness for disaster response and health emergencies

4: Digital, Industry and Space

- SME's sustainability transition
- Affordable Housing
- Ethics & AI
- Next Generation Internet pilots
- Human centric industry 5.0
- Industry-academia co-creation
- Systemic transformations through societal and cultural interactions
- Artistic experimentation with digital technology

5: Climate, Energy and Mobility

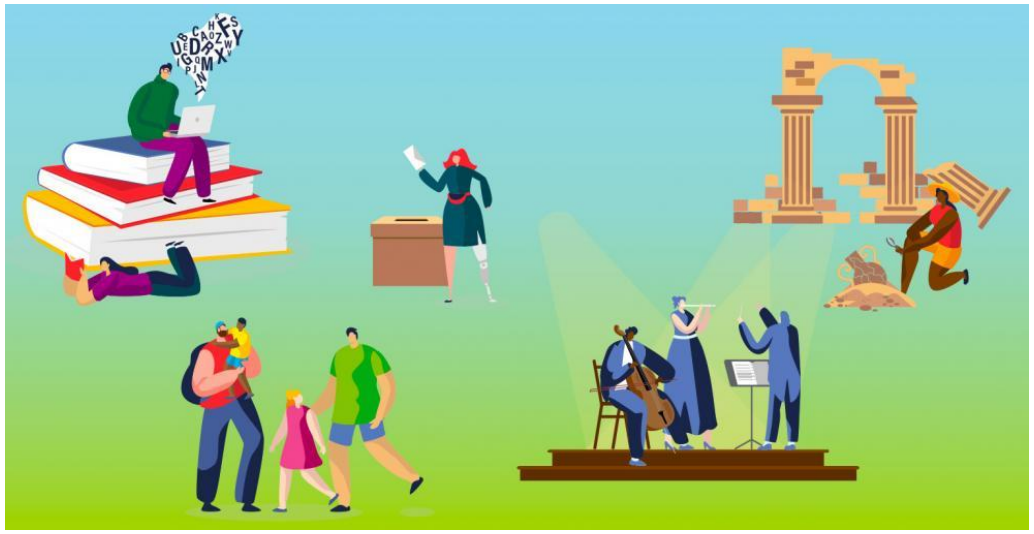
- Local resilience and transition pathways
- Behavioural change, governance, and climate transformations
- Education & sustainability
- Climate & trade
- Market Uptake of renewable energy
- Uptake of digital services in the energy market
- Accessible and inclusive built environment
- Mobility equity and inclusiveness
- Mobility jobs, education, and skills

6: Food, Bioeconomy, Natural Resources, Agriculture and Environment

- Biodiversity, Nature-based Solutions, education
- Biodiversity/nature, economics and finance
- Healthy and sustainable diets at home
- Marketing and food waste
- Inclusive circular households
- Sustainable tourism
- Bio-based solutions for humanitarian applications
- Eco-friendly consumer products
- Balanced business models in the bioeconomy
- Sustainable farming/rural business and production models
- Societal perceptions/benefits of rural life and jobs
- Empowering Arctic communities in environmental decision-making
- Mainstream media, social media, marketing & healthy/sustainable consumption patterns

UKRI Economic and Social Research Council
Department for Business, Energy & Industrial Strategy

Useful links



- Cluster 2 Work Programme
- Horizon Europe glossary
- Funding and tenders portal – How to Participate
- Reference documents and guidelines for applicants
- Horizon Europe helpdesk and support services

- **UKRI guarantee funding process:** UKRO Webinar on Grant Preparation for Projects with UK Organisations

- **Find partners**
  - Use your existing networks (or friends of friends)
  - CORDIS – find examples of previously funded projects
  - Funding and Tenders Portal partner search
  - Attend relevant brokerage events (contact the NCP to ask for details)
  - Funding to help you build partnerships for Horizon Europe bids:
    - AHRC research networking scheme

Why & how get involved?

Why?

- Solving global grand challenges through collaborative R&D
- Build domestic and international links/partners
  - Funding for LMIC countries (if included in the List of Participating Countries in Horizon Europe)
- Access to cutting edge research, technologies, infrastructure & talent
- Influence standards, regulations and research policies
- High funding rate (up to 100%)
- Guaranteed access to UK applicants

How?

- Select the right opportunity
- Cooperation: be proactive
  - Prepare a summary of your project idea to share with National Contact Point(s)/prospective partners
- Be prepared: Horizon Europe opportunities are competitive
- You are not alone: seek support and advice

National Contact Points

- Team of sector specific advisors to support UK entities to successfully participate in EU Framework Programmes
- Support available for each area of Horizon Europe

- Discuss scope of proposal
- Partnership building
- Proposal checking….

Full list of UK National Contact Points

Thank you for listening

- Contact details
- Email: CCISNCP@esrc.ukri.org
- Twitter: @HE_CCIS_UKNCP
- Phone: 07999 018967

- Other NCPs can be found on the Funding & tenders portal (filter by country and function)

Economic and Social Research Council

Department for Business, Energy & Industrial Strategy

Q&A Panel

Viola Hay
Knowledge Transfer Manager

UKRI Innovate UK KTN

BREAK

Option of watching UKRI video on experiences from Horizon 2020 and Horizon Europe UK grantees

https://www.youtube.com/watch?v=gdxHQ_7VRFg&list=PLkjB0VcEl5P_zFLV2oKywwXzZ4Vz2I8Zu

UKRI Innovate UK KTN

Case Study

Prof. Akwugo Emejulu
University of Warwick

UKRI Innovate UK KTN

Any Questions?

Innovate UK KTN

Navigating application and award process: Practical tips and support for applicants

Chris Young
NCP for Legal and Financial Issues

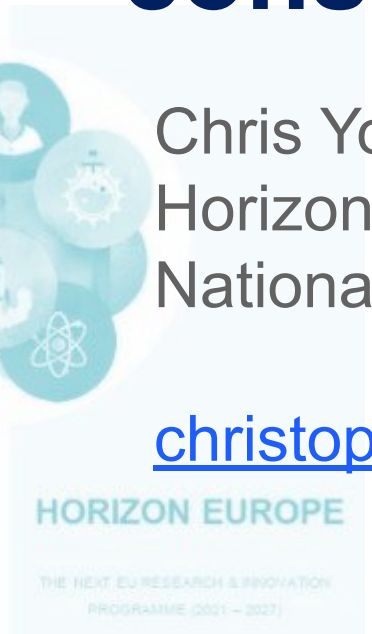
UKRI Innovate UK KTN

UK Research and Innovation

Proposal submission and grant negotiations – practical considerations

Chris Young
Horizon Europe UK Legal and Financial National Contact Point

christopher.young@iuk.ukri.org



Proposal submission - general principles

- UK organisations – **submit as a beneficiary/coordinator** – candidate Associate Country
- Complete budget tables in full (Part A and Part B)
- Note eligibility requirements – multi-beneficiary topics – 1x MS + 2x MS/AC (consider + UK)
- Topic specific issues – participants, budget etc
- Consider NDA/MoU

Roles and responsibilities – Beneficiary and Coordinator

- Organisations can participate in various roles: as **coordinator, beneficiaries**, affiliated entities, associated partners, in-kind contributors, subcontractors or recipients of financial support to third parties.

- Beneficiaries are entities that sign the Grant Agreement

- The **coordinator** is the beneficiary who is the central contact point for the Commission and represents the consortium (towards the Commission) – they act as the “banker” for EU funds

- Ideally, the work should be done by the beneficiaries and their affiliated entities **themselves**, but if needed, they may involve other partners and rely on outside resources *(purchase new equipment, goods, works or services, subcontract a part of the work or involve associated partners, etc)*.

Roles and responsibilities – Associated partners

- **Associated partners –** they implement action tasks but do not receive EU funding
- They do not sign the Grant Agreement but are actively involved in the consortium
- The Grant Agreement mentions them and defines their role but they are NOT beneficiaries
- The consortium is responsible for the implementation of the actions tasks by the Associated partner
- They do not have to account for their costs but applicants should include their costs in the budget of their proposal under other sources of finance, financial contributions and own resources headings

Roles and responsibilities – Subcontractor

- **Subcontracts –** these concern the implementation of ”action tasks” as set out in Annex I of the Grant Agreement ie part of the project/project tasks that have been outsourced.
- The price for the subcontracts will be declared as subcontracting costs in the financial statement.
- Subcontractor’s motivation is financial, not the project itself and is paid by the beneficiary in exchange for its work
- Subcontracting is limited and certain tasks are excluded (eg. Coordination)

Example : *Subcontract to organise a conference that is set as part of the tasks in the description of the action*

Budget for the proposal

- The budget categories to be used for the call are set out in the call conditions and explained in the Annotated Model Grant Agreement https://ec.europa.eu/info/funding-tenders/opportunities/docs/2021-2027/common/guidance/aga_en.pdf

- The budgeted costs should be based on a detailed and accurate estimation of your estimated project costs (based on the cost eligibility rules). They should include *all* your estimated costs.

- Cross-check Part A and Part B to see if you will also need to submit a detailed budget table or outline budgetary details in Part B.

- Keep your estimates on file — you may be required to produce them later on

- Only the white cells need to be filled in (the grey cells are not applicable or automatically filled by the system).

Part A - Budget for the proposal

3 – Budget for the proposal

			Estimated expenditure							Estimated income						
			Estimated eligible costs							Requested EU contribution			Revenues	Other sources of financing		Total estimated income
					C. Purchase costs					EU contribution to eligible costs						
No	Participant name	Country	A. Personnel costs/€ (a1)	B. Subcontracting costs/€ (b)	C.1 Travel and subsistence/€ (c1)	C.2 Equipment/€ (c2)	C.3 Other goods, works and services/€ (c3)	D.X [specific cost category]/€ (dx)	E. Indirect costs/€ (e) = 25 % * ((a1) + (c1) + (c2) + (c3) + (d7))	Total eligible costs (h) = (a1) + (b) + (c1) + (c2) + (c3) + (d) + (e)	Funding rate (U)	Maximum EU contribution to eligible costs (l) = (U) * (h)	Requested EU contribution to eligible costs/€ (Requested grant amount) (m) (n)	Income generated by the action (o)	Financial contributions (q)	Own resources (r)	(s)=(n) +(o)+(p)+ (q) + (r)
1	Participant 1	NL															
2	Participant 2	LB															
	Affiliated Entity	LB															
3	Participant 3	DE															
	Associated Partner	AR															
Total																	

example, not to complete

Part A - Budget for the proposal

			Estimated project expenditure									
			Estimated eligible costs									
			D. Other cost categories									
No	Participant name	Country	D.1 Financial support to third parties (Actual costs) (d1)	D.2 Internally invoiced goods and services (Unit costs - usual accounting practices) (d2)	/D.3 Transnational access to research infrastructures (Unit costs) (d3) /	/D.4 Virtual access to research infrastructures (Unit costs) (d4) /	/D.5 PCP/PPI procurement costs (Actual costs) (d5) /	/D.6 Euratom Cofund staff mobility costs (Unit costs) (d6) /	/D.7 ERC additional funding (Actual costs) (d7) /	/D.8 ERC additional funding (subcontracting, FSTP and internally invoiced goods and services) (Actual costs) (d8) /		
1	Participant 1	NL										
2	Participant 2	LB										
	Affiliated Entity	LB										
3	Participant 3	DE										
	Associated Partner	AR										
Total												

Example, not to complete

UK R and

Successful evaluation – change of status (current position)

- On successful evaluation - change from beneficiary to associated partner
- Confirm participation - Associated Partner - Letter of Intent (refer to guarantee and UK R&D roadmap 2020 p43 https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/896799/UK_Research_and_Development_Roadmap.pdf
- If threatened with removal:
  - inform PO; seek support (Consortium - ask for a vote)
  - Negotiate a lower budget
  - If NDA/MoU in place seek to enforce
  - Contact NCP

UK Research and Innovation

Standard European Commission text following successful evaluation

- Ineligible - identify new participant (minimum eligibility requirements) ie 3x MS/AC (+UK)
- Change to Associated Partner
- Redistribute tasks to existing beneficiaries and/or new participant (coordinator role)

Accordingly, your proposal will be declared ineligible and the Grant preparation terminated, unless you consider the steps suggested below.

To address the ineligibility of your proposal, I am inviting you to take the following possible actions so the Grant preparation of your proposal can continue:

Step1. Identification of new participant

Identify a new participant(s) established in a Member State or an Associated Country not present in the original proposal to make sure that the proposal complies with the minimum eligibility conditions for participation. This new participant must take over some of the tasks (possibly including the tasks of the UK participants).

The redistribution of the budget and tasks will be accepted as non-substantial change unless these changes would put into question the results of the evaluation.

Step2. Change of status of the participant from beneficiary to associated partner

Provided that the legal entities established in the United Kingdom are able to fund their tasks, their status may change from a beneficiary into an associated partner within the meaning of Article 9.1 of the Horizon Europe Model Grant Agreement. The total EU funding to the project will be reduced accordingly, except for tasks taken over by the new or existing eligible beneficiaries.

A redistribution of the remaining budget and tasks may be requested and should be accepted as non-substantial change unless it would put into question the results of the evaluation.

The entity may be later re-established as a beneficiary through a grant amendment if the association agreement with the United Kingdom becomes applicable and if requested by the consortium. If the association agreement provides for retroactive application prior to the start of the action and if the status changes to beneficiary, such conditions will apply.

Alternative step 2: Withdrawal of the legal entities from participation in the proposal

The tasks of the legal entities established in the United Kingdom are taken over either by the remaining other beneficiaries of the proposal, subject to the fulfilment of the minimum eligibility conditions for participation of the proposal, or by one or more additional new beneficiaries established in a Member State or an Associated country. The legal entity established in the United Kingdom is withdrawn from the project. The total EU funding to the project may remain unchanged.

Associated partners - overview

UK organisations post successful evaluation – third country participant
DO NOT receive EU funding – self funding
DO NOT sign the Grant Agreement – NOT beneficiaries
CANNOT be the official coordinator (but can be scientific/technical coordinator)
DO NOT account for costs to EC - self funding/government guarantee
CAN be WP leaders, implement actions tasks – fully participate
Recognised role - Grant Agreement (Article 9.1) – role and tasks (Annex 1)

Associated partners and the Consortium Agreement

- UK participants convert to Associated Partners – third country participant - note access rights on portal, no right to Grant Agreement
- No direct right of EC to enforce - Consortium is responsible for the implementation of the actions tasks by the Associated partner
- Provisions from the Grant Agreement inserted in the Consortium Agreement – DESCA https://www.desca-agreement.eu/desca-model-consortium-agreement/
- Grant Agreement - Article 9.1
- Associated partners sign the Consortium Agreement – director or equivalent (not on the portal)
- Belgium law applies (insurance)

Consortium Agreement – Article 9.1

- Articles 9.1 lists the provisions that apply to Associated Partners as follows:
  - Article 11 (proper implementation)
  - Article 12 (conflict of interests)
  - Article 13 (confidentiality and security)
  - Article 14 (ethics)
  - Article 17.2 (visibility)
  - Article 18 (specific rules for carrying out action)
  - Article 19 (information)
  - Article 20 (record- keeping)
  - Article 25 (rights of various organsations).
- Above listed in the government guarantee p24/25 https://www.ukri.org/wp-content/uploads/2021/12/UKRI-031122-HorizonEuropeGuaranteeGuidance.pdf

UK Research and Innovation

Associated partner/Consortium Agreement – current UK issues – practical considerations

- Proposal submission – Beneficiary - consider NDA/MoU or simple contract re ownership
- On successful evaluation - confirm participation - Letter of Intent (refer to guarantee)
- If threatened with removal:
  - inform PO; seek support (Consortium - ask for a vote)
  - Negotiate a lower budget
  - If NDA/MoU in place seek to enforce
  - Offer indemnity (shortfall in the budget)
  - Contact NCP
- Consortium Agreement – Limit any liability (total project costs), indemnity (only if pushed), right to the Grant Agreement (and amendments), carve out from financial provisions

UK Research and Innovation

Thank you

@UKRI_news

UK Research and Innovation

UK Research and Innovation

Q&A Panel

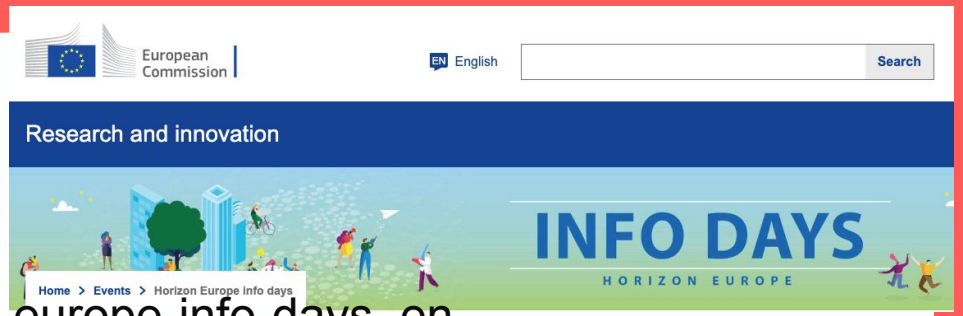
Viola Hay
Knowledge Transfer Manager

UKRI Innovate UK KTN

Key dates
&
Key Messages

Innovate UK
KTN

Some Key dates for you



EU info days

https://research-and-innovation.ec.europa.eu/events/horizon-europe-info-days_en

- Health (Cluster 1): 19 January 2023
- Culture, Creativity and Inclusive Society (Cluster 2): 17 January 2023
- Digital, Industry and Space (Cluster 4) Info day – 12 - 14 December 2022
- Climate, Energy and Mobility (Cluster 5) Info day – 15 - 16 December 2022
- Food, Bioeconomy (Cluster 6) info day – 13 - 14 December 2022
- Research Infrastructures: 6 December 2022
- Marie Sklodowska-Curie: 7 December 2023 (*Staff exchanges*)

UKRI Innovate UK KTN

Horizon Europe

Horizon Europe is an opportunity for all types of UK organisations (including businesses and academia) to get funding for research and innovation. For UK businesses this is an opportunity to collaborate with partners with the right expertise to expand markets and accelerate innovation across Europe and internationally.

UK Horizon Europe Hub https://ktn-uk.org/programme/european/

Horizon Europe Webinar Series

- 1 December 2022, 10am-12pm GMT – Cluster 5: Climate, Energy and Mobility
- 2 December 2022, 10am-12pm GMT – Cluster 1: Health
- 6 December 2022, 10-12pm GMT – Cluster 6: Food, Bioeconomy, Natural Resources, Agriculture and Environment
- 7 December 2022, 10am-12pm GMT – Cluster 2: Culture, Creativity and Inclusive Society
- 8 December 2022, 10am-12pm GMT – Cluster 4: Digital, Industry and Space
- 15 December 2022, 10am-12pm GMT – Cluster 3: Civil Security for Society

REGISTER HERE

“UK Horizon Europe Hub” https://ktn-uk.org/programme/european/

UKRI Innovate UK KTN

UK Research and Development Roadmap (page 43)

"…..It is our ambition to fully associate to both programmes if we can agree a fair and balanced deal, but we will make a final decision once it is clear whether such terms can be reached. If we are formally associated to Horizon Europe, the funding for UK participants will be allocated to the relevant UK organisations by the EU. In this scenario, if there were ***any gap before we became formally associated, we would implement short-term alternative funding arrangements*** *through proven, attractive UK schemes. During any gap, we would provide funding to UK partners who are successful in bidding to programmes open to third country participation…."*

UKRI Innovate UK KTN

https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/896799/UK_Research_and_Development_Roadmap.pdf